TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 713-18-CON2 In Re Application Of: Randall Williams Nish et al. Examiner Group Art Unit Filing Date Serial No. 3641 07/09/2003 10/616,152 Title: RISER BUOYANCY SYSTEM Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 713-18-CON2 Randall Williams Nish et a In Re Application: Examiner Group Art Unit Filing Date Serial No. 3641 07/09/2003 10/616,152 RISER BUOYANCY SYSTEM Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) is attached. ☐ A check in the amount of ☑ The Director is hereby authorized to charge and credit Deposit Account No. 11-1159 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Mailing by First Class Mail Certificate of Transmission by Facsimile* I certify that this document and fee is being deposited I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States on December 17, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is Patent and Trademark Office (F: addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Carrie Anne Cheung Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: December 17, 2003 Howard J. Klein Klein, O'Neill & Singh 2 Park Plaza, Suite 510 Irvine, California 92614 Tel: (949) 955-1920 Fax: (949) 955-1921 CC:

1 ... 1

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

ATTY DOCKET NO. 713-18-CON2 SERIAL NO.

10/616,152

Randall Williams Nish et al

FILING 07/09/2003

GROUP

3641

U.S. PATENT DOCUMENTS

| *EXAMINER | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-----------|--------------------------|------------|--------------------|-------|----------|-----------------------------|
| | 3,858,401 | 1975-01-07 | Watkins | | | |
| | 3,957,112 | 1976-05-18 | Knibbe et al. | | | |
| | 4,057,450 | 1977-11-08 | Lee | | | |
| | 4,102,142 | 1978-07-25 | Lee | | | |
| | 4,176,984 | 1979-12-04 | Taft et al. | | | |
| | 4,646,840 | 1987-03-03 | Bartholomew et al. | | | |
| • | 402,321 | 1987-10-27 | Horton | | | |
| | 4,821,804 | 1989-04-18 | Pierce | | | |
| | 5,706,897 | 1998-01-13 | Horton | | | |
| | 5,758,992 | 1998-06-02 | Davies et al. | | | |
| | 5,873,416 | 1999-02-23 | Horton | | | |
| | FOREIGN PATENT DOCUMENTS | | | | | |

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---|-----------------|------------|---------|---------|----------|-------------|----|
| | DOCOMENT NOMBER | DATE | COCATIC | OLASS . | | YES | NO |
| , | WO 02/04855 | 2002-01-17 | wo | | | 1 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | HUDSON ENGR. CORP., "BUOYANCY CAN DETAILS DWG" Drawings 1, 2 and 3 February 9, 1996, Houston, TX, USA |
|--|---|
| | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY DOCKET NO. SERIAL NO. 713-18-CON2 10/616,152 INFORMATION DISCLOSUR Randall Williams Nish et al (Use several sheets if necessar, **FILING** GROUP 07/09/2003 3641 **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER** SUBCLASS CLASS NAME DOCUMENT NUMBER IF APPROPRIATE INITIAL 1999-03-16 Horton 5,881,815 1999-12-21 Shanks, II 6,004,074 2000-07-25 Allen et al. 6,092,483 2000-12-05 Allen et al. 6,155,748 2000-12-19 Cox et al. 6,161,620 2002-02-19 Thomas 6,347,912 2002-04-09 Aaron, III 6,367,846 **Thomas** 2002-06-18 6,406,223 Nish et al. 2002-08-20 6,435,775 2002-08-27 Nish et al. 6,439,810 2002-12-03 Nish et al. 6,488,447 **FOREIGN PATENT DOCUMENTS** TRANSLATION SUBCLASS CLASS DATE COUNTRY DOCUMENT NUMBER YES

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.